

Atty. Docket No.: A-70134/RMA

2151

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Signature: Marilyn Johnson

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:  
STANLEY et al.

Serial No. 10/010,754

Filed: December 6, 2001

For: DATA POOL ARCHITECTURE, SYSTEM  
AND METHOD FOR INTELLIGENT OBJECT  
DATA IN HETEROGENEOUS DATA  
ENVIRONMENTS

Examiner: Not Yet Assigned

Group Art Unit: 2151

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**INFORMATION DISCLOSURE STATEMENT SUBMITTED  
PRIOR TO THE FIRST OFFICIAL ACTION**

Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

Applicant submits herewith patents and publications which may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 C.F.R. §1.56. While this Statement may be "material" pursuant to 37 C.F.R. §1.56, it is not intended to constitute an admission that any patent, publication, or other information referred to therein is "prior art" for this invention unless specifically designated as such. A listing of patents and publications is shown on enclosed PTO Form 08A and a copy of each patent and publication is also enclosed.

This information disclosure statement is being filed in compliance with 37 CFR 1.97(b)(3) as being filed before the mailing date of the first office action on the merits.

Respectfully submitted,

DORSEY & WHITNEY LLP

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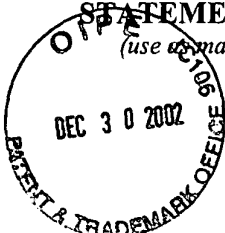
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Substitute for form 1449A/PTO <b>INFORMATION DISCLOSURE</b> <b>STATEMENT BY APPLICANT</b> <i>(use as many sheets as necessary)</i>		<b>Complete if Known</b>	
		Application Number	10/010,754
		Filing Date	December 6, 2001
		First Named Inventor	Robert Allen STANLEY
		Group Art Unit	2151
		Examiner Name	Not Yet Assigned
Sheet	1	of	5
		Attorney Docket Number	A-70134/RMA (469153-21)

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known)			
	1.	5,596,744		Dao et al.	01-21-1997	
	2.	5,634,015		Chang et al.	05-27-1997	
	3.	5,664,066		Sun et al.	09-02-1997	
	4.	5,664,215		Burgess et al.	09-02-1997	
	5.	5,706,453		Cheng et al.	01-06-1998	
	6.	5,745,895		Bingham et al.	04-28-1998	
	7.	5,767,854		Anwar	06-16-1998	
	8.	5,784,294		Platt et al.	07-21-1998	
	9.	5,832,484		Sankaran et al.	11-03-1998	
	10.	5,842,031		Barker et al.	11-24-1998	
	11.	5,862,325		Reed et al.	01-19-1999	
	12.	5,867,799		Lang et al.	02-02-1999	
	13.	5,873,097		Harris et al.	02-16-1999	
	14.	5,937,189		Branson et al.	08-10-1999	
	15.	5,964,891		Caswell et al.	10-12-1999	
	16.	5,974,532		McLain et al.	10-26-1999	
	17.	5,989,835		Dunlay et al.	11-23-1999	
	18.	6,016,393		White et al.	01-18-2000	
	19.	6,016,495		McKeehan et al.	01-18-2000	
	20.	6,035,300		Cason et al.	03-07-2000	
	21.	6,038,393		Iyengar et al.	03-14-2000	
	22.	6,041,398		Pechanek et al.	03-21-2000	
	23.	6,052,722		Taghadoss	04-18-2000	
	24.	6,064,382		Diedrich et al.	05-16-2000	
	25.	6,076,088		Paik et al.	06-13-2000	
	26.	6,078,924		Ainsbury et al.	06-20-2000	
	27.	6,094,656		De Jong	07-25-2000	
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10/010,754

December 6, 2001

Robert Allen STANLEY

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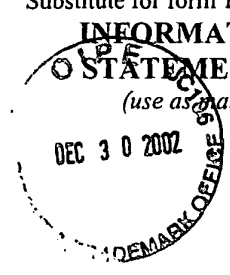
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		Number	Kind Code <sup>2</sup> (if known)			
	28.	6,102,969		Christianson et al.	08-15-2000	<div> <div>RECEIVED</div> <div>JAN 02 2003</div> <div>Technology Center 2100</div> </div>
	29.	6,105,030		Syed et al.	08-15-2000	
	30.	6,108,661		Caron et al.	08-22-2000	
	31.	6,111,893		Volftsun et al.	08-29-2000	
	32.	6,119,126		Yee et al.	09-12-2000	
	33.	6,125,383		Glynias et al.	09-26-2000	
	34.	6,132,969		Stoughton et al.	10-17-2000	
	35.	6,134,581		Ismael et al.	10-17-2000	
	36.	6,134,664		Walker	10-17-2000	
	37.	6,136,274		Nova et al.	10-24-2000	
	38.	6,137,499		Tesler	10-24-2000	
	39.	6,138,171		Walker	10-24-2000	
	40.	6,141,660		Bach et al.	10-31-2000	
	41.	6,144,989		Hodjat et al.	11-07-2000	
	42.	6,145,009		Miyazawa et al.	11-07-2000	
	43.	6,146,027		Orton et al.	11-14-2000	
	44.	6,148,298		LaStrange et al.	11-14-2000	
	45.	6,161,148		Pratt et al.	12-12-2000	
	46.	6,167,563		Fontana et al.	12-26-2000	

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		First Named Inventor	Robert Allen STANLEY		
		Group Art Unit	2151		
		Examiner Name	Not Yet Assigned		
Sheet	3	of	5	Attorney Docket Number	A-70134/RMA (469153-21)

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*Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	TRANSLATION	
			YES	NO
	47	AMERICA, P., Formal Aspects of Computing: Issues in the Design of a Parallel Object-Oriented Language [POOL], 1989, pp. 366-411.	<input type="checkbox"/>	<input type="checkbox"/>
	48	ANDREOLI, J-M, et al., Research Directions in Concurrent Object-Oriented Programming, MIT Press, 1993, pp. 260-263		
	49	BAKER, M., Software Focus: Parallel Programming with Java, 2000.		
	50	BERTINO, E., et al., Theory and Practice of Object Systems, 1999, pp. 125-197.		
	51	CAI, D., et al., International Journal of Intelligent Systems, 2000, pp. 745-761.		
	52	CAROMEL, D., et al., Parallel Programming Using C++, MIT Press, 1996, pp. 257-280.		
	53	CHALMERS, M., Journal of the American Society for Information Science, 1999, pp. 1108-1118.		
	54	CHAUDHRI, A.B., Theory and Practice of Object Systems, 1999, pp. 199-200		
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	57	DAO, et al., IEEE, 1991, pp. 88-91.		
	58	DEMEYER, S., et al., Theory and Practice of Object Systems, 1999, pp. 73-81.		
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<div style="border: 1px solid black; border-radius: 50%; padding: 10px; display: inline-block; text-align: center;">             OIP              DEC 30 2002              TRADEMARK OFFICE           </div>		Application Number 10/010,754	Filing Date December 6, 2002
		First Named Inventor Robert Allen STANLEY	Group Art Unit 2151
		Examiner Name Not Yet Assigned	Attorney Docket Number A-70134/RMA (469153-21)
Sheet	4	of	5

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*Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	TRANSLATION	
			YES	NO
	59	GONZALEZ-CASTANO, F.J. et al., Software: Practice and Experience, 2001, pp. 1-16.	<input type="checkbox"/>	<input type="checkbox"/>
	60	HAAS, L.M., et al., IBM Systems Journal, 2001, pp. 489-511.		
	61	HENRY, J.E., Journal of Software Maintenance: Research and Practice, 2000, pp. 229-248.		
	62	HERT, C.A. et al., Journal of the American Society for Information Science, 2000, pp. 971-988.		
	63	HOBBS, D.W., Chemical and Engineering News, 2001, p. 266		
	64	HONG-TAEK JU, International Journal of Network Management, 2000, pp. 261-275.		
	65	HURD, J.M., Journal of the American Society for Information Science, 2000, pp. 1279-1283.		
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	67	LAURO, C.N. et al., Applied Stochastic Models and Data Analysis: A multidimensional approach to conjoint analysis, 1998, pp. 265-274.		
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	69	MATTSSON, M., et al., Journal of Software Maintenance: Research and Practice, 2000, pp. 79-102.		
	70	MCCLEAN, S., et al., International Journal of Intelligent Systems, 2000, pp. 535-547.		
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		Attorney Docket Number	A-70134/RMA (469153-21)
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71	MEYER, B., IEEE Computer, 1999, PP. 139-140.	<input type="checkbox"/>	<input type="checkbox"/>
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